

Arthur D. Felsenfeld



**Partner**

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**PRACTICE AREAS**

Arbitration/Mediation  
Corporate Compliance,  
Investigations and  
Defense  
Litigation  
Subprime and  
Distressed Assets

**EDUCATION**

JD, 1975, New York  
University School of  
Law

BS, 1972, *magna cum  
laude*, Accounting,  
Brooklyn College

**ADMITTED**

New York 1976  
US Court of Appeals for  
the Second Circuit  
US Court of Appeals for  
the Eleventh Circuit  
US District Court for the  
Southern District of New  
York  
US District Court for the  
Eastern District of New  
York  
US District Court for the  
Northern District of  
Florida

Arthur's practice includes experience in general commercial litigation, with particular emphasis on securities and broker/dealer litigation and arbitration, accountants' liability, the defense of class and derivative actions, bankruptcy and real estate litigation, and corporate and partnership disputes. Arthur also serves as an arbitrator in commercial and securities matters. He has received an AV rating in Martindale-Hubbell.

**REPRESENTATIVE EXPERIENCE**

- Representation of securities broker-dealer in arbitrations arising out of customer investments in collateralized mortgage obligations.
- Representation of accounting firms and securities brokers in litigations, arbitrations and governmental investigations arising out of hedge fund failures.
- Representation of underwriter in defense of securities and antitrust class actions alleging undisclosed commissions, tie-in arrangements and improper use of analysts relating to allocations of initial public offerings.
- Representation of issuers and underwriters in defense of securities class actions.
- Representation of large international accounting firms in defense of malpractice actions arising out of audits and other accounting services.
- Representation of major securities brokerage firm in numerous litigations and arbitrations involving customer allegations of unauthorized trading, lack of suitability, churning and other trade practices.
- Representation of securities clearing firm in arbitrations against correspondent firms and their customers.
- Representation of securities brokerage firm in arbitrations involving disputes with former employees.
- Representation of securities broker-dealers and accountants in SEC investigations.
- Representation of buyers and sellers in litigations and arbitrations arising out of corporate acquisition agreements.
- Representation of directors in stockholders' derivative action brought by minority stockholders.

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#### ARTICLES/PUBLICATIONS

- Soapbox: Federal Arbitration Act Tested [The Deal](#) (April 28, 2008)
- "Inadvertent Jury Trial Waiver" [New York Law Journal](#) (June 27, 1994)

#### BRIEFINGS, SEMINARS & SPEECHES

##### Panelist

"The Impact of Corporate Governance on Financial Reporting and Capital Markets," at NYU Stern School of Business (April 29, 2008)

#### PROFESSIONAL/CIVIC AFFILIATIONS

##### Member

- Association of the Bar of the City of New York

**Assistant Counsel** - New York State Governor's Judicial Nominating Committee, 1982

##### Arbitrator

- American Arbitration Association
- Financial Industry Regulatory Authority (FINRA)

**Listed** - New York Super Lawyers 2007 in Business Litigation, [Metro Magazine](#) (September 2007)

#### HEADLINE NEWS

- Law firms brace for subprime fallout (April 11, 2008)